

Metallic Ferromagnetism in the Kondo Lattice

Seiji J. Yamamoto & Qimiao Si

Department of Physics and Astronomy, Rice University, Houston, TX 77005, USA

Metallic magnetism is both ancient and modern, occurring in such familiar settings as the lodestone in compass needles and the hard drive in computers. Surprisingly, a rigorous theoretical basis for metallic ferromagnetism is still largely missing¹. The Stoner approach perturbatively treats Coulomb interactions when the latter need to be large², while the Nagaoka approach incorporates thermodynamically negligible holes into a half-filled band³. Here, we show that the ferromagnetic order of the Kondo lattice is amenable to an asymptotically exact analysis over a range of interaction parameters. In this ferromagnetic phase, the conduction electrons and local moments are strongly coupled but the Fermi surface does not enclose the latter (*i.e.*, it is “small”). Moreover, non-Fermi liquid behavior appears over a range of frequencies and temperatures. Our results provide the basis to understand some long-standing puzzles^{4,5,6} in the ferromagnetic heavy fermion metals, and raises the prospect for a new class of ferromagnetic quantum phase transitions.

A contemporary theme in quantum condensed matter physics concerns competing ground states and the accompanying novel excitations⁷. With a plethora of different phases, magnetic heavy fermion materials should reign supreme as the prototype for competing order. So far, most of the theoretical scrutiny has focused on antiferromagnetic heavy fermions^{8,9}. Nonetheless, the list of heavy fermion metals which are known to exhibit ferromagnetic order continues to grow. An early example subjected to extensive studies is CeRu₂Ge₂ (ref.¹⁰ and references therein). Other ferromagnetic heavy fermion metals include CePt¹¹, CeSi_x¹², CeAgSb₂¹³, and URu_{2-x}Re_xSi₂ at $x > 0.3$ ¹⁴. More recently discovered materials include CeRuPO¹⁵ and UIr₂Zn₂₀¹⁶. Finally, systems such as UGe₂¹⁷ and URhGe¹⁸ are particularly interesting because they exhibit a superconducting dome as their metallic ferromagnetism is tuned toward its border. Some fascinating and general questions have emerged, yet they have hardly been addressed theoretically. One central issue concerns the nature of the Fermi surface: Is it “large,” encompassing both the local moments and conduction electrons as in paramagnetic heavy fermion metals^{19,20}, or is it “small,” incorporating only conduction electrons? Measurements of the de Haas-van Alphen (dHvA) effect have suggested that the Fermi surface is small in CeRu₂Ge₂^{4,5,6}, and have provided evidence for Fermi surface reconstruction as a function of pressure in UGe₂²¹. At the same time, it is traditional to consider the heavy fermion ferromagnets as having a large Fermi surface when their relationship with unconventional superconductivity is discussed^{17,18}; an alternative form of the Fermi surface in the ordered state could give rise to a new type of superconductivity near its phase boundary. All these point to the importance of theoretically understanding the ferromagnetic phases of heavy fermion metals, and this will be the focus of the present work.

We consider the Kondo lattice model in which a periodic array of local moments interact with each other and with a conduction-electron band. Kondo lattice systems are normally studied in the paramagnetic state, where Kondo screening leads to heavy quasiparticles in the single-electron excitation spectrum¹⁹. The Stoner mean field treatment of these heavy quasiparticles may then lead to an itinerant ferromagnet²². With the general limitations of the Stoner approach in mind, here we carry out an asymptotically exact analysis of the ferromagnetic state. We are able to do so by using a reference point that differs from either the Stoner or Nagaoka approach.

The model contains a lattice of spin- $\frac{1}{2}$ local moments (\mathbf{S}_i for each site i) with a ferromagnetic exchange interaction ($I < 0$), a band of conduction electrons ($c_{\vec{K}\sigma}$, where \vec{K} is the

wavevector and σ the spin index) with a dispersion $\epsilon_{\vec{K}}$ and a characteristic bandwidth W , and an on-site antiferromagnetic Kondo exchange interaction ($J_K > 0$) between the local moments and the spin of the conduction electrons. The corresponding Hamiltonian is

$$H = \sum_{\vec{K}} \epsilon_{\vec{K}} c_{\vec{K}\sigma}^\dagger c_{\vec{K}\sigma} + I \sum_{\langle ij \rangle} S_i^a S_j^a + \sum_i J_K^a S_i^a c_{i\sigma}^\dagger \frac{\tau_{\sigma\sigma'}^a}{2} c_{i\sigma'}. \quad (1)$$

The Hamiltonian above is to be contrasted with models for double-exchange ferromagnets in the context of, *e.g.*, manganites, where it is the “Kondo” coupling that is ferromagnetic due to the Hund’s rule.

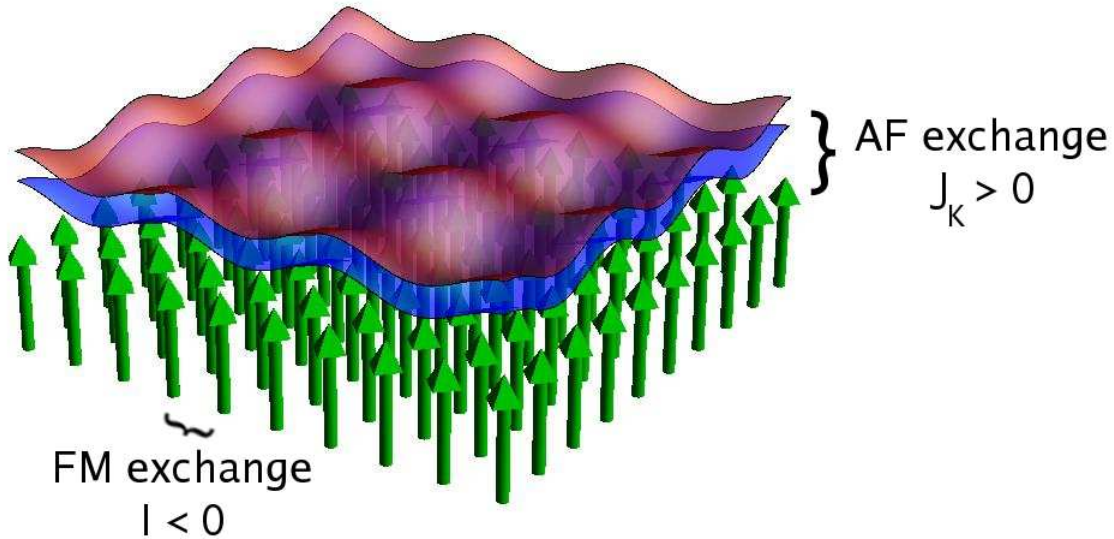


FIG. 1: An illustration of the Kondo lattice. Local moments from f-orbitals are in green, and are depicted here to be spin down. Spin-up conduction electrons are in red, which have a higher probability density than the spin-down conduction electrons in blue. The Hamiltonian for the model is given in Eq. (1) where σ is the spin index and a refers to the three spin directions. Note that the Einstein summation convention is used on indices. For simplicity, we assume $\epsilon_{\vec{K}} = \frac{K^2}{2m_e}$. The characteristic kinetic energy, W , is defined as $W \equiv 1/\rho_0$, where $\rho_0 \equiv \sum_{\vec{K}} \delta(E_F - \epsilon_{\vec{K}})$ is the single-particle density of states at the Fermi energy (E_F). Both E_F and the chemical potential, μ , scales like W . We use the Shankar notation with $K = |\vec{K}|$ measured from the center of the Brillouin zone.

The parameter region we will focus on is $J_K \ll |I| \ll W$. Here we can use the limit $J_K = 0$ as the reference point, which contains the local moments, representing the f-electrons with strong repulsions, and conduction electrons. As illustrated in Fig. 1, the local moments

order in a ferromagnetic ground state because $I < 0$, whereas the conduction electrons form a Fermi sea with a Fermi surface. A finite but small J_K will couple these two components, and its effect is analyzed in terms of a fermion+boson renormalization group (RG) procedure^{23,24,25}. We will use an effective field theory approach, which we outline below and describe in detail in the Supplementary Information. Though our analysis will focus on this weak J_K regime, the results will be germane to a more extended parameter regime through continuity.

The Heisenberg part of the Hamiltonian, describing the local moments alone, is mapped to a continuum field theory²⁶ in the form of a Quantum Nonlinear Sigma Model (QNL σ M). In this framework, the local moments are represented by an O(3) field, \vec{m} , which is constrained non-linearly with a continuum partition function. Combining the local moments with the conduction electrons, we reach the total partition function: $Z = \int \mathcal{D}\vec{m} \mathcal{D}[\bar{\psi}, \psi] \delta(\vec{m}^2(\vec{x}, \tau) - 1) e^{-S}$, where $S = S_m + S'_c + S_K$. The action for the conduction electrons, S'_c , is standard. Defining $m^+ = m_x + im_y$ and $m^- = m_x - im_y$, the low energy action for the local moments is expressed in terms of a single complex scalar:

$$S_m \approx \frac{1}{2} \int d\omega d^d q m^+(\vec{q}, i\omega) (-M_0 i\omega + \rho_s q^2) m^-(-\vec{q}, -i\omega) + g \int (\partial m)^4 \quad (2)$$

Here, M_0 is the magnetization density, and ρ_s the magnon stiffness constant. The magnon-magnon coupling g , schematically written above and more precisely specified in the Supplementary Information, turns out to be irrelevant in the RG sense when fermions are also coupled to the system. Finally, the Kondo coupling can be separated into static and dynamic parts. The static order of the local moments induces a splitting of the conduction electron band on the order of $\Delta \sim J_K^z \langle m^z \rangle \sim J_K^z$, which modifies S'_c into the following action for the conduction electrons

$$S_c = \int d^d K d\epsilon \bar{\psi}_\sigma(\vec{K}, \epsilon) \left(-i\epsilon + \frac{K^2}{2m_e} - \mu + \sigma \Delta \right) \psi_\sigma(\vec{K}, \epsilon) \quad (3)$$

The dynamical part couples the magnons with the conduction electrons, leading to

$$S_K^\pm = J_K^\pm \int d^d q d\omega d^d K d\epsilon \left(\psi_{K+q, \uparrow}^\dagger \psi_{K, \downarrow} m_q^- + \psi_{K+q, \downarrow}^\dagger \psi_{K, \uparrow} m_q^+ \right) \quad (4)$$

$$S_K^z = -\frac{J_K^z}{2} \int d^d q_1 d\omega_1 d^d q_2 d\omega_2 d^d K d\epsilon \left(\psi_{K+q_1-q_2, \sigma}^\dagger \tau_{\sigma\sigma'}^z \psi_{K, \sigma'} m_{q_1}^- m_{q_2}^+ \right) \quad (5)$$

The mapping from the microscopic model in Eq. (1) to the field theory in (2)-(5) is similar to the antiferromagnetic case²³, but differs from the latter in several important ways. One

simplification is that the translational symmetry is preserved in the ferromagnetic phase. At the same time, two complications arise. Ferromagnetic order breaks time-reversal symmetry, which is manifested in the Zeeman splitting of the spin up and down bands. In addition, the effective field theory for a local-moment quantum ferromagnet involves a Berry phase term²⁶ such that Lorentz invariance is broken, even in the continuum limit; the dynamic exponent, connecting ω and q in Eq. (2), is $z = 2$ instead of 1. The effective field theory, comprising Eqs. (2)-(5), is subjected to a two-stage RG analysis as detailed in the Supplementary Information.

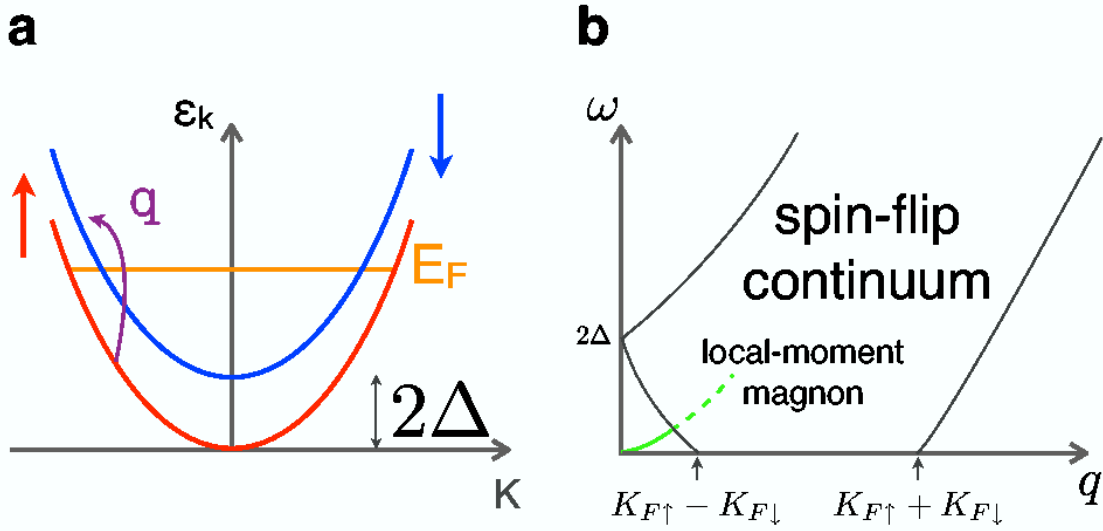


FIG. 2: Phase space for the Kondo coupling. **a**, The spin-splitting of the conduction electron band, which kinematically suppresses interband processes associated with the Kondo spin-flip coupling to the local-moment magnons. **b**, The kinematics for the spin-flip Kondo coupling. The low-lying excitations of the local-moment system are the magnons which enter the continuum at finite ω and q . Those of the conduction electrons are expressed in terms of the spin-flip continuum, whose Kondo-coupling to the local-moment magnons is cut off below the cutoff energy, $\omega_c \approx (I/W^2)\Delta^2$, and the cutoff momentum, $q_c \approx K_{F\uparrow} - K_{F\downarrow} \approx (K_F/W)\Delta$.

For energies and momenta above their respective cutoffs, $\omega_c \sim (I/W^2)\Delta^2$ and $q_c \sim (K_F/W)\Delta$, the magnons are coupled to the continuum part of the transverse spin excitations of the conduction electrons, see Fig. 2. Here, the Kondo coupling is relevant in the RG sense below three dimensions. This implies strong coupling between the conduction electrons and the local moments, and both the QNL σ M as well as the action for the conduction electrons

will be modified. Explicitly, the correction to the quadratic part of the QNL σ M is

$$\Pi(\vec{q}, \omega) \approx J_K^2 \rho_0 \left(1 + i\gamma \frac{\omega}{v_F q} \right) \quad (6)$$

where γ is a dimensionless constant prefactor. At the same time, the conduction electrons acquire the following self-energy:

$$\Sigma(K_F, \epsilon) = \begin{cases} -A_2(\rho_0 J_K^4 / I^2)^{1/3} (-i\epsilon)^{2/3} & d = 2 \\ -A_3(\rho_0 J_K^2 / I) \epsilon \log(-i\epsilon) & d = 3 \end{cases} \quad (7)$$

where A_2 and A_3 are dimensionless constants of order unity. Similar forms for the self-energies appear in other contexts, notably the gauge-fermion problem and the spin-fluctuation-based quantum critical regime. The formal similarities as well as some of the important differences are discussed in the Supplementary Information.

With these damping corrections incorporated, the effective transverse Kondo coupling, J_K^\pm , becomes marginal in the RG sense in both two and three dimensions. This signals the stability of the form of damping for both the magnons and conduction electrons^{24,27}. At the same time, the effective longitudinal Kondo coupling, J_K^z , as well as the non-linear coupling among the magnons, g , are irrelevant in the RG sense.

The marginal nature of the Kondo coupling in the continuum part of the phase space implies that the effective coupling remains small as we scale down to the energy cutoff $\omega \sim \omega_c$ and, correspondingly, the momentum cutoff $q \sim q_c$. Below these cutoffs, the transverse Kondo coupling, which involves spin flips of the conduction electrons, cannot connect two points near the up-spin and down-spin Fermi surfaces; see Fig. 2. Although there is no gap in the density of states, as far as the spin-flip Kondo coupling is concerned, the system behaves as if the lowest energy excitations have been gapped out. The important conclusion, then, is that the effective transverse Kondo coupling renormalizes to zero in the zero-energy and zero-momentum limit. This establishes the absence of static Kondo screening. Hence, the Fermi surface is small, and this is illustrated in Fig. 3a.

Our result is surprising given that the ratio $J_K/\omega_c \sim W^2 / (IJ_K \langle m^z \rangle^2) \gg 1$. By contrast, the standard Kondo impurity problem with a pseudo-gap of order $\Delta_{pg} \ll J_K$ in the conduction electron density of states near the Fermi energy would be Kondo-screened^{28,29}. The difference is that, in the latter case, the Kondo coupling renormalizes to stronger values as the energy is lowered in the range $\Delta_{pg} \ll \omega \ll W$; for $J_K/\Delta_{pg} \gg 1$, the renormalized Kondo coupling is already large by the time the energy is lowered to $\omega \sim \Delta_{pg}$.

The small Fermi surface we have established is to be contrasted with the large Fermi surface of a ferromagnetic heavy fermion metal in the Stoner treatment, illustrated in Fig. 3b. In the latter case, the local moments become entangled with the conduction electrons as a result of the static Kondo screening. Kondo resonances develop and the local moments become incorporated into a large Fermi surface. This Fermi surface comes from a Zeeman-splitting of an underlying Fermi surface for the paramagnetic phase; the latter is large, as seen through a non-perturbative proof²⁰ that relies upon time-reversal invariance.

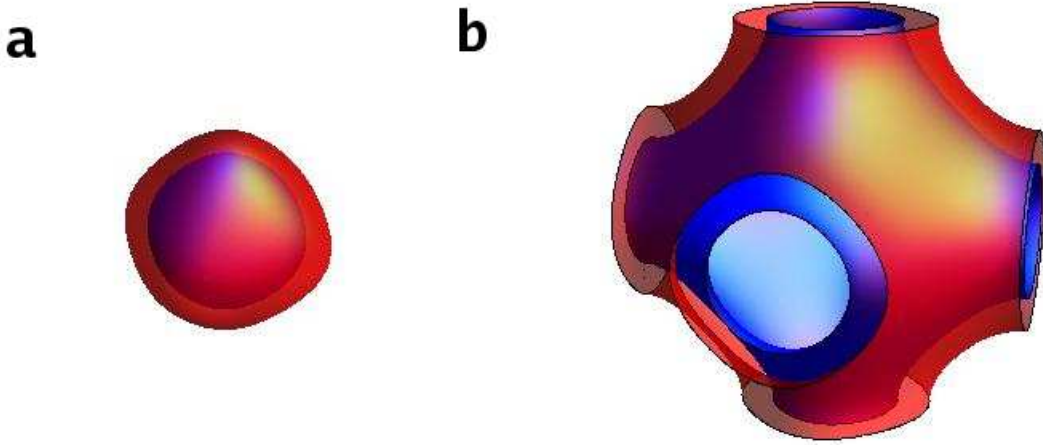


FIG. 3: Contrasting the small and large Fermi surfaces. The spin-up electron Fermi surface is drawn in red and larger than the spin-down electron Fermi surface in blue. The larger Fermi surface has been made slightly transparent to reveal the smaller sheet. **a**, The local moments are not part of the Fermi surface. **b**, The static Kondo screening has caused the Fermi surface to expand to accommodate the Kondo resonances associated with the local moments.

The region of validity of Eqs. (6,7) corresponds to $\omega_c \ll \omega \ll |I|$ and $q_c \ll q \ll 2K_F$. This range is well-defined, given that $\Delta \approx J_K \langle m^z \rangle \leq J_K$ and that we are considering $J_K \ll |I| \ll W$. In this same energy and, correspondingly, temperature ranges, other physical properties also show a non-Fermi liquid behavior. In two dimensions, the specific heat coefficient, $C/T \sim T^{-1/3}$ and the electrical resistivity $\rho \sim T^{4/3}$. In three dimensions, $C/T \sim \log(1/T)$ and $\rho \sim T^{5/3}$.

Our result of a stable ferromagnetic metal phase with a small Fermi surface provides

the basis to understand the dHvA-measured^{4,5,6} Fermi surface of CeRu₂Ge₂, which is ferromagnetic below $T_c = 8$ K. Our interpretation rests on a dynamical Kondo screening effect that turns increasingly weak at lower energies. This is supported by the observation of the collapsing quasielastic peak measured in the inelastic neutron-scattering cross section as the temperature is reduced³⁰. It will be very instructive if the Fermi surface of UGe₂²¹ is further clarified and if systematic dHvA measurements are carried out in other ferromagnetic heavy fermion metals as well. With future experiments in mind, we note that our conclusion of a small Fermi surface also applies to ferrimagnetic order.

In the parameter regime we have considered, the non-Fermi liquid features are sizable. For instance, the non-Fermi liquid contribution to the self-energy [Eq. (7)] is, at the cutoff energy ω_c , larger than the standard Fermi liquid term associated with the interactions among the conduction electrons. It remains to be fully established whether the non-Fermi liquid terms in the electrical resistivity and specific heat can be readily isolated from contributions of other processes. Still, there is at least one family of materials, URu_{2-x}Re_xSi₂ at $x > 0.3$, in which non-Fermi liquid features have been shown to persist deep inside the ferromagnetic regime¹⁴. Whether this observed feature is indeed a property of the ferromagnetic phase, or if it is related to some quantum critical fluctuations or even certain disorder effects, remains to be clarified experimentally. We hope that our theory will provide motivation for the experimental search of non-Fermi liquid behavior in ferromagnetic heavy fermion metals as well.

Finally, the existence of a ferromagnetic phase with a small Fermi surface raises the prospect of a direct quantum phase transition from a Kondo-destroyed ferromagnetic metal to a Kondo-screened paramagnetic metal. This, like its antiferromagnetic counterpart^{8,31,32}, in turn raises the possibility of a new type of superconductivity; the underlying quantum fluctuations would be associated with not only the development of the ferromagnetic order¹⁷ but also the transformation of a large-to-small Fermi surface. Accessing the quantum phase transition requires that our analysis be extended to the regime where the Kondo coupling is large compared to the RKKY interaction, and this represents an important direction for the future.

To summarize, we have shown that the ferromagnetic Kondo lattice has a parameter range where the Kondo screening is destroyed and the Fermi surface is small. This conclusion is important for heavy fermion physics. It allows us to understand a long-standing

puzzle on the Fermi surface, as epitomized by the dHvA measurements in CeRu₂Ge₂. It also sharpens the analogy with the extensively studied antiferromagnetic heavy fermion metals, where the dichotomy between Kondo breakdown and conventional quantum criticality is well established. More broadly, the present work has led to one of the very few asymptotically exact results for metallic ferromagnetism. Our findings highlight an important lesson, namely that correlation effects can lead to qualitatively new properties even for magnetism occurring in a metallic environment. This general lesson could very well be relevant to a broad array of magnetic systems, including the extensively-debated iron pnictides³³.

Acknowledgments We acknowledge M. C. Aronson, N. P. Butch, S. R. Julian, Y. B. Kim, P. Goswami, M. B. Maple, C. Pepin, T. Senthil, I. Vekhter for discussions, and the NSF Grant No. DMR-0706625 and the Robert A. Welch Foundation for partial support.

Competing interests statement The authors declare that they have no competing financial interests.

Correspondence and requests for materials should be addressed to Q. S. (qmsi@rice.edu).

-
1. Vollhardt, D. *et al.* Metallic ferromagnetism: Progress in our understanding of an old strong-coupling problem. *Adv. in Solid State Phys.* **38**, 383-396 (1999); arXiv:cond-mat/9804112.
 2. Stoner, E. C. Collective electron ferromagnetism. *Proc. R. Soc. London, Ser. A* **165**, 372-414 (1938).
 3. Nagaoka, Y. Ferromagnetism in a narrow, almost half-filled s band. *Phys. Rev.* **147**, 392-405 (1966).
 4. King, C. A. & Lonzarich, G. G. Quasiparticle properties in ferromagnetic CeRu₂Ge₂. *Physica B* **171**, 161-165 (1991).
 5. Yamagami, H. & Hasegawa, A. Fermi surface of LaRu₂Ge₂ and CeRu₂Ge₂ within local-density band theory. *J. Phys. Soc. Jpn.* **63**, 2290-2302 (1994).
 6. Ikezawa, H. *et al.* Fermi surface properties of ferromagnetic CeRu₂Ge₂. *Physica B* **237-238**, 210-211 (1997).
 7. Coleman, P. & Schofield, A. J. Quantum criticality. *Nature* **433**, 226-229 (2005).

8. Gegenwart, P., Si, Q. & Steglich, F. Quantum criticality in heavy-fermion metals. *Nature Phys.* **4**, 186-197 (2008).
9. von Lohneysen, H., Rosch, A. & Vojta, M. Fermi-liquid instabilities at magnetic quantum phase transitions. *Rev. Mod. Phys.* **79**, 1015-1075 (2007).
10. Sullow, S., Aronson, M. C., Rainford, B. D. & Haen, P. Doniach phase diagram, revisited: From ferromagnet to Fermi liquid in pressurized CeRu₂Ge₂. *Phys. Rev. Lett.* **14**, 2963-2966 (1999).
11. Larrea, J. *et al.* Quantum critical behavior in a CePt ferromagnetic Kondo lattice. *Phys. Rev. B* **72**, 035129 (2005).
12. Drotziger, S. *et al.* Suppression of ferromagnetism in CeSi_{1.81} under temperature and pressure. *Phys. Rev. B* **73**, 214413 (2006).
13. Sidorov, V. A. *et al.*, Magnetic phase diagram of the ferromagnetic Kondo-lattice compound CeAgSb₂ up to 80 kbar. *Phys. Rev. B* **67**, 224419 (2003).
14. Bauer, E. D. *et al.* Non-Fermi-Liquid behavior within the ferromagnetic phase in URu_{2-x}Re_xSi. *Phys. Rev. Lett.* **94**, 046401 (2005).
15. Krellner, C. *et al.* CeRuPO: A rare example of a ferromagnetic Kondo lattice. *Phys. Rev. B* **76**, 104418 (2007).
16. Bauer, E. D. *et al.* Physical properties of the ferromagnetic heavy-fermion compound UIr₂Zn₂₀. *Phys. Rev. B* **74**, 155118 (2006)
17. Saxena, S. S. *et al.* Superconductivity on the border of itinerant-electron ferromagnetism in UGe₂. *Nature* **406**, 587-592 (2000).
18. Levy, F., Sheikin, I. & Huxley, A. Acute enhancement of the upper critical field for superconductivity approaching a quantum critical point in URhGe. *Nature Physics* **3**, 460-463 (2007).
19. Hewson, A. C. *The Kondo Problem to Heavy Fermions* (Cambridge University Press, Cambridge, 1997).
20. Oshikawa, M. Topological approach to Luttinger's theorem and the Fermi surface of a Kondo lattice. *Phys. Rev. Lett.* **84**, 3370-3373 (2000).
21. Settai, R. *et al.* A change of the Fermi surface in UGe₂ across the critical pressure. *J. Phys.: Condens. Matter* **14**, L29-L36 (2002).
22. Perkins, N. B., Iglesias, J. R., Nunez-Regueiro, M. D. & Coqblin, B. Coexistence of ferromagnetism and Kondo effect in the underscreened Kondo lattice. *Euro. Phys. Lett.* **79**, 57006

- (2007).
23. Yamamoto, S. J. & Si, Q. Fermi surface and antiferromagnetism in the Kondo lattice: An asymptotically exact solution in $d > 1$ dimensions. *Phys. Rev. Lett.* **99**, 016401 (2007).
 24. Altshuler, B. L., Ioffe, L. B. & Millis, A. J. Low energy properties of fermions with singular interactions. *Phys. Rev. B* **50**, 14048-14065 (1994)
 25. Shankar, R. Renormalization-group approach to interacting fermions. *Rev. Mod. Phys.* **66**, 129-192 (1994).
 26. Read, N. & Sachdev, S. Continuum quantum ferromagnets at finite temperature and the quantum hall effect. *Phys. Rev. Lett.* **75**, 3509-3512 (1995).
 27. Polchinski, J. Low energy dynamics of the spinon-gauge system. *Nucl. Phys. B* **422**, 617-633 (1994).
 28. Gonzalez-Buxton, C. & Ingersent, K. Renormalization-group study of Anderson and Kondo impurities in gapless Fermi systems. *Phys. Rev. B* **57**, 14254-14293 (1998).
 29. Withoff, D. & Fradkin, E. Phase transitions in gapless Fermi systems with magnetic impurities. *Phys. Rev. Lett.* **64**, 1835-1838 (1990).
 30. Rainford, B. D., Neville, A. J., Adroja, D. T., Dakin, S. J. & Murani, A. P. Low temperature excitations in $\text{CeRu}_2\text{Si}_{2-x}\text{Ge}_x$. *Physica B* **223**, 163-165 (1996).
 31. Paschen, S. *et al.* Hall-effect evolution across a heavy-fermion quantum critical point. *Nature* **432**, 881-885 (2008)
 32. Park, T. *et al.* Isotropic quantum scattering and unconventional superconductivity. *Nature* **456**, 366-368 (2008)
 33. de la Cruz, C. *et al.* Magnetic order close to superconductivity in the iron-based layered $\text{LaO}_{1-x}\text{F}_x\text{FeAs}$ systems. *Nature* **453**, 899-902 (2008).

Supplementary Information for “Metallic Ferromagnetism in the Kondo Lattice”

Seiji J. Yamamoto & Qimiao Si

Department of Physics and Astronomy, Rice University, Houston, TX 77005, USA

I. KONDO LATTICE AND FIELD THEORY

We begin with a microscopic description of heavy fermion metals in terms of the Kondo-Heisenberg Hamiltonian.

$$H = \sum_k \epsilon_{\vec{K}} c_{\vec{K}\sigma}^\dagger c_{\vec{K}\sigma} + I \sum_{\langle ij \rangle} S_i^a S_j^a + \sum_i J_K^a S_i^a c_{i\sigma}^\dagger \frac{\tau_{\sigma\sigma'}^a}{2} c_{i\sigma'} \quad (1)$$

where a labels the three spin components. For simplicity, and without loss of generality, we will consider only nearest-neighbor ($\langle ij \rangle$) ferromagnetic exchange interaction among the local moments, and we will also assume $\epsilon_{\vec{K}} = \frac{K^2}{2m_e}$. By contrast to the purely itinerant magnets, the local moments are independent degrees of freedom to begin with and, on their own, would be ferromagnetically ordered ($I < 0$). These local moments are also antiferromagnetically coupled ($J_K > 0$) to itinerant conduction electrons. The exchange interaction among the local moments includes not only the RKKY interaction generated by the conduction electrons in Eq. (1), but also the RKKY and superexchange interactions from other conduction-electron states as well as the direct exchange.

Since we are interested in the low energy properties of the ferromagnetic phase of this system, we adapt an effective field theory previously used for the pure quantum Heisenberg ferromagnet¹, but extend it to include fermions. Here, the spin is represented by an $O(3)$

field, \vec{m} , which is constrained non-linearly.

$$\begin{aligned}
Z &= \int \mathcal{D}\vec{m} \mathcal{D}[\bar{\psi}, \psi] \delta(\vec{m}^2(\vec{x}, \tau) - 1) e^{-\mathcal{S}} \\
\mathcal{S} &\equiv \mathcal{S}'_m + \mathcal{S}_{\text{Berry}} + \mathcal{S}'_c + \mathcal{S}_K \\
\mathcal{S}'_m &= \frac{\rho_s}{2} \int d^d x d\tau \frac{\partial m^a(\vec{x}, \tau)}{\partial x^\mu} \frac{\partial m^a(\vec{x}, \tau)}{\partial x^\mu} \\
\mathcal{S}_{\text{Berry}} &= iM_0 \int d^d x d\tau A^a[\vec{m}] \frac{\partial m^a(\vec{x}, \tau)}{\partial \tau} \\
\mathcal{S}'_c &= \int d^d x d\tau \bar{\psi}_\sigma(\vec{x}, \tau) \left(\partial_\tau - \frac{\nabla^2}{2m_e} - \mu \right) \psi_\sigma(\vec{x}, \tau) \\
\mathcal{S}_K &= J_K^a \int d^d x d\tau s_c^a(\vec{x}, \tau) m^a(\vec{x}, \tau)
\end{aligned} \tag{2}$$

where, as usual, $s_c^a \equiv \bar{\psi}_{i\sigma} \frac{\tau_{\sigma\sigma'}^a}{2} \psi_{i\sigma'}$. The topological Berry phase term is crucial to get the dynamics right². If we define the z -axis as the direction of magnetization, we have $\nabla_m \times \vec{A} = (0, 0, 1) = \langle \vec{m} \rangle$ (note that the curl is in field space, not real space). Thus, in a linearized, low-energy theory of spin fluctuations, we have $\vec{A} \approx (-m_y, m_x, 0)$. Defining $m^+ = m_x + im_y$ and $m^- = m_x - im_y$ we obtain a theory of a single complex scalar

$$\begin{aligned}
\mathcal{S}_m &= \mathcal{S}'_m + \mathcal{S}_{\text{Berry}} \\
&\approx \frac{1}{2} \int d\omega d^d q m^+(\vec{q}, i\omega) (-M_0 i\omega + \rho_s q^2) m^-(\vec{q}, -i\omega)
\end{aligned} \tag{3}$$

We have now arrived at an effective theory of local moment ferromagnetic magnons coupled to fermions with effective coupling constant that for simplicity we also label J_K . The mapping from the microscopic model in equation (1) to the field theory in (2) parallels the AF case^{1,3}.

II. SCALING ANALYSIS

We need to carry out an RG analysis for the field theory above several times, both before and after self-energies have been incorporated. To begin, we summarize the pure boson problem which has been done previously¹. The dimension of the m field is fixed by the nonlinear constraint $m^a(\vec{x}, \tau) m^a(\vec{x}, \tau) = 1$ which requires $[m^a(\vec{x}, \tau)] = 0$. In momentum space, this becomes $[m^a(\vec{q}, \omega)] = -d - z_b$. Unless indicated otherwise, we will exclusively be concerned with field dimensions in momentum space, so the arguments will often be dropped: $[m] = -d - z_b$. As usual for purely bosonic RG, the momenta and energies scale

simply as $[q] = 1$ and $[\omega] = z_b$, where $z_b = 2$ is the dynamical exponent for the boson, which is consistent with $\omega \sim q^2$. The modulo 4π ambiguity in the Berry phase dictates $[M_0] = d$, and the scale invariance of \mathcal{S}_m establishes $[\rho_s] = d + z_b - 2$.

Read and Sachdev were the first to point out that higher order gradient terms may be relevant.

$$\mathcal{S}_m^{(4)} = g \int d^d x d\tau \left(\partial_\mu m_a \partial_\mu m_a \partial_\nu m_b \partial_\nu m_b - 2 \partial_\nu m_a \partial_\nu m_a \partial_\mu m_b \partial_\mu m_b \right) \quad (4)$$

Using the scaling scheme described above, this coupling, representing magnon-magnon interactions, has scaling dimension $[g] = d - 2$. This indicates that, for $d \geq 2$, the magnon-magnon scattering is relevant. We will see later why this term becomes irrelevant when fermions are incorporated.

In parallel to the pure boson problem, there is a well known procedure for handling pure fermion problems within a momentum shell approach⁴. The essential difference from the bosonic RG is that the low energy manifold now consists of an extended surface, the Fermi surface, rather than a single point. Scaling should therefore be done with respect to this surface, and this may be accomplished by a clever change of coordinates for a simple spherical Fermi surface.

When the action contains both bosons and fermions, the momentum shell RG becomes much more complicated. In the special case $z_f = 1$ and $z_b = 1$, we have extended Shankar's approach in a straightforward fashion³. However, such an approach does not work if $z_f \neq z_b$. Another strategy has been proposed by Altshuler, Ioffe, and Millis⁵, and we adopt this method here.

Each fermion momentum space integral is decomposed into patches of size Λ_f in every direction so that each patch is locally a flat space. Scaling is accomplished locally with respect to the center of each patch. Momenta are therefore decomposed into components parallel (k_\parallel) and perpendicular (k_\perp) to the vector normal to the Fermi surface at this reference point. For example, $\int_{\text{annulus}} d^d K = \sum_{\text{patches}} \int_{-\Lambda_f}^{\Lambda_f} d^{d-1} k_\perp dk_\parallel$. Note that some authors use an opposite naming convention for components; we follow the notation of Ref.⁵. A tacit assumption of this approach is that the boson does not connect two fermions in different patches; this is only justified for forward scattering problems like the one we consider in this paper. Bosonic momentum integrals are already constrained to a volume of linear dimension Λ_b , which we assume naturally fits inside the fermionic patch: $\Lambda_b \sim \Lambda_f \equiv \Lambda$. In this scheme,

fermionic and bosonic momenta scale the same way, albeit anisotropically. The assignment of values for $[\epsilon]$, $[k_{\parallel}]$, and $[k_{\perp}]$ will depend on the form of the quadratic action, and this will be different depending on how we incorporate the corrections to the QNLsM and fermion actions. The scaling analysis will therefore need to be done anew for each case.

The introduction of fermions and the choice to use the scaling procedure outlined above has an immediate consequence on the way we scale the bosonic action. In the pure boson case, we can use $[M_0] = d$. This comes from the modulo 4π ambiguity of the Berry phase. Specifically, since $e^{i4\pi S} = 1$, we need $i4\pi S = i2\pi n$, where n is an integer. Therefore S is quantized at either an integer or half integer value, and is insensitive to the RG rescaling. However, since $S = M_0 \int d^d x = M_0 L^d$, and since $[L^d] = -d$, we must have $[M_0] = d^6$. But the anisotropic scalings we employ in momentum space no longer translate simply to a real space analysis. We must therefore abandon these dimension assignments for the pure boson problem. Instead, we write the action completely in momentum space and live with the understanding that after rescaling, the fields $m^a(\vec{q}, \omega)$ and $\psi(\vec{k}, \epsilon)$ no longer represent the Fourier transforms of $m^a(\vec{x}, \tau)$ and $\psi(\vec{x}, \tau)$. This is nothing new since even in the original Wilsonian RG formalism the imposition of a cutoff invalidates the interpretation of $\phi(q)$ as a true Fourier transform of $\phi(x)$.

A second reason to modify the Read-Sachdev assignments for scaling dimensions in the pure boson problem is that the addition of fermions acts as a magnetization sink for the local-moment system. Of course, the overall magnetization is still conserved in the ferromagnetic phase. Furthermore, we assume there are no valence fluctuations (an implicit assumption in writing down the microscopic Kondo-Heisenberg Hamiltonian) so we can still treat the local moments as O(3) spins attached to the lattice, and therefore work with the nonlinear field theory.

The way we fix the scaling dimensions is to define the quadratic action according to:

$$\mathcal{S}_m = \int d\omega d^{d-1} q_{\perp} dq_{\parallel} m^+ (-i\omega + q_{\perp}^2) m^- \quad (5)$$

$$\mathcal{S}_c = \sum_{\text{patches}} \int d\epsilon d^{d-1} k_{\perp} dk_{\parallel} \bar{\psi}_{\sigma} \left(i\epsilon - v_F k_{\parallel} - \frac{v_F}{2K_F} k_{\perp}^2 \right) \psi_{\sigma} \quad (6)$$

where, as usual, $q_{\perp} \gg q_{\parallel}$ as explained in Ref.⁵. To ensure that these forms are scale invariant,

we make the assignments:

$$\begin{aligned}
[\epsilon] &= 1 \\
[k_{\parallel}] &= 1 \\
[k_{\perp}] &= 1/z_b = 1/2 \\
[\psi] &= -(3z_b + d - 1)/(2z_b) = -(5 + d)/4 \\
[m] &= -(2z_b + d + 1)/(2z_b) = -(5 + d)/4
\end{aligned} \tag{7}$$

This information is used to count dimensions for the Kondo coupling (see figure 1).

$$\mathcal{S}_K^{\pm} = J_K^{\pm} \int d^{d-1}q_{\perp} dq_{\parallel} d\omega d^{d-1}k_{\perp} dk_{\parallel} d\varepsilon \left[\bar{\psi}_{k+q,\uparrow} \psi_{k,\downarrow} m_q^{-} + \bar{\psi}_{k+q,\downarrow} \psi_{k,\uparrow} m_q^{+} \right] \tag{8}$$

The tree-level dimension of the Kondo coupling is now easily found.

$$\begin{aligned}
[\mathcal{S}_K^{\pm}] &= 0 \\
&= [J_K^{\pm}] + 2[d^{d-1}k_{\perp} dk_{\parallel} d\varepsilon] + 2[\psi] + [m] \\
&= [J_K^{\pm}] + 2 \frac{d-1+2z_b}{z_b} - 2 \frac{3z_b+d-1}{2z_b} - \frac{2z_b+d+1}{2z_b} \\
\Rightarrow [J_K^{\pm}] &= (3-d)/(2z_b)
\end{aligned} \tag{9}$$

The spin-flip Kondo coupling is relevant in two dimensions, and marginal (at the tree level) in three dimensions. Usually, when the Kondo coupling is relevant, we expect the model to flow to a strong coupling fixed point where Kondo screening sets in, destroying the magnetic order and leading to a paramagnetic phase with a large Fermi surface. This, however, would be an incorrect, and inconsistent, conclusion. A proper calculation of the self energies and subsequent re-analysis of the scaling dimensions around the appropriate fixed point will show that there will never be Kondo screening.

III. DAMPING CORRECTION TO THE QNLMS AND SCALING

Our analysis so far has been a little too naive. In particular, it describes the wrong fixed point. Note that so far we have not considered the z -component of the Kondo interaction, $J_K^z \int s_c^z m^z$, which we refer to as the longitudinal channel. This coupling has two important effects. First, it introduces the effect of splitting the spin bands of the conduction electrons. Second, when the modified bosonic propagator is inserted into the fermionic self energy we

will obtain a non-Fermi liquid form when the Kondo coupling is SU(2) symmetric ($J_K^+ = J_K^- = J_K^z$). What is crucial for this, of course, is that the magnons will remain gapless in the presense of the Kondo coupling to the conduction electrons, and we wish to show this explicitly. With all this in mind, we present below in some detail the calculation of the magnon self-energy, as well as an RG analysis with the modified QNLsM.

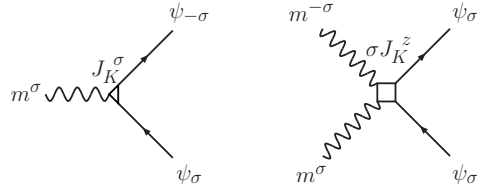


FIG. 1: Interaction vertices

The first observation is easy to demonstrate. For small fluctuations about the ordered state, the longitudinal interaction is approximately $J_K^z \int (\bar{\psi}_\uparrow \psi_\uparrow - \bar{\psi}_\downarrow \psi_\downarrow) (1 - \frac{1}{2} m^+ m^-)$, where we have used the constraint $m^z = \sqrt{1 - m^+ m^-}$. The “1” comes from the magnetization in the z -direction, and leads to a shift in the relative density of the up- and down-spin electrons. The reference point for our theory should therefore have a quadratic action for the fermions of the form

$$\mathcal{S}_c = \int d^d x d\tau \bar{\psi}_\sigma(\vec{x}, \tau) \left(\partial_\tau - \frac{\nabla^2}{2m} - \mu + \sigma \Delta \right) \psi_\sigma(\vec{x}, \tau) \quad (10)$$

where $\Delta \sim J_K^z \langle m^z \rangle \sim J_K^z$. We need to write this in momentum space where it has the effect of defining a spin-dependent Fermi wavevector: $K_{F\sigma} \equiv \sqrt{2m_e(\mu + \sigma \Delta)}$. Expression (6) is unchanged except for the new definition of $K_{F\sigma}$. We need to build an effective low-energy theory around this fixed point, where there is a gap of size 2Δ between the up-spin and down-spin bands. This form of the fermionic spectrum is essential to correctly capture the damping of magnons via the Kondo interaction. The interaction vertices are represented diagrammatically in figure 1, while the leading contributions to the self energies are shown in figure 2. The real and imaginary parts of the retarded functions can be calculated exactly. For example, the contribution from diagram Π^A is

$$\begin{aligned} \text{Re} \Pi_R^A(\vec{q}, \omega) &= -\frac{m J_K^+ J_K^-}{q\pi} \left[q + K_{F\uparrow} \text{sgn}(\zeta_{-, \uparrow}) \Theta(|\zeta_{-, \uparrow}| - 1) \sqrt{\zeta_{-, \uparrow}^2 - 1} \right. \\ &\quad \left. + K_{F\downarrow} \text{sgn}(\zeta_{+, \downarrow}) \Theta(|\zeta_{+, \downarrow}| - 1) \sqrt{\zeta_{+, \downarrow}^2 - 1} \right] \\ \text{Im} \Pi_R^A(\vec{q}, \omega) &= \frac{m J_K^+ J_K^-}{q\pi} \left[-K_{F\uparrow} \Theta(1 - |\zeta_{-, \uparrow}|) \sqrt{1 - \zeta_{-, \uparrow}^2} + K_{F\downarrow} \Theta(1 - |\zeta_{+, \downarrow}|) \sqrt{1 - \zeta_{+, \downarrow}^2} \right] \end{aligned} \quad (11)$$

where we have defined $\zeta_{\pm,\sigma} \equiv \frac{\omega-2\Delta}{v_F\sigma q} \pm \frac{q}{2K_{F\sigma}}$, and $\sigma \in \{+, -\}$. The region in (ω, q) -space where the imaginary part is non-zero is depicted in the main paper. A similar exact expression is also available in $d = 3$, but the approximate form is perhaps more useful. The bubble Π_R^A in the regime $\Delta \ll \omega \ll v_F q \ll \mu = K_F^2/(2m_e)$ is approximately:

$$\Pi_R^A(\vec{q}, \omega) \approx J_K^+ J_K^- \rho_0^{(d)} \left(1 + i\gamma_d \frac{\omega}{v_F q} \right) \quad (12)$$

where γ_d is a constant prefactor which depends on the spatial dimension, and $\rho_0^{(d)} = \sum_{\sigma} \rho_{0,\sigma}^{(d)}$ is the density of states at the Fermi level. In two and three dimensions, the explicit expressions are $\rho_{0,\sigma}^{(d=2)} = \frac{m}{2\pi}$ and $\rho_{0,\sigma}^{(d=3)} = \frac{m}{2\pi^2} K_{F\sigma}$. The ω/q form of the damping is common to a variety of systems; in this case it signifies Landau damping of the magnons with spin 1 excitations of the fermions.

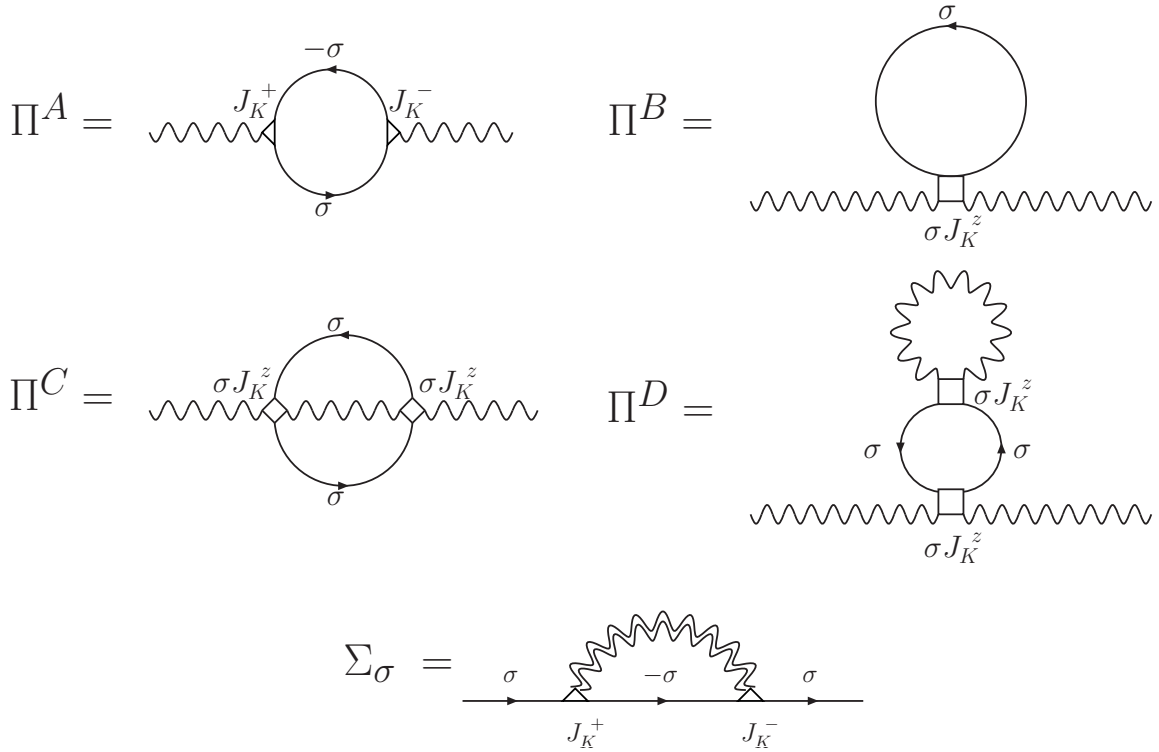


FIG. 2: Self Energies

To satisfy Goldstone's Theorem, it is necessary for all the pieces of Π to cancel in such a way that the full bosonic propagator emerges in massless form. In the gauge-fermion problem, this is a consequence of gauge invariance⁷. In our case, the cancellation is somewhat more subtle. First, note that the diagrams Π^C and Π^D are explicitly $O(J_K^2)$. Diagrams Π^A

and Π^B , however, are both linear in J_K . This is obvious for Π^B , whose calculation is trivial:

$$\begin{aligned}\text{Re}\Pi_R^B(\vec{q}, \omega) &= -J_K^z(n_\uparrow - n_\downarrow) \\ \text{Im}\Pi_R^B(\vec{q}, \omega) &= 0\end{aligned}\tag{13}$$

The sign difference comes from the fact that there is a four-leg vertex J_K^z for each spin, but the sign of the coupling constant depends on σ . The reason why Π^A is linear in J_K instead of $O(J_K^2)$ can be seen from a simple calculation at $(\vec{q} = 0, \omega = 0)$, which is non-singular due to the different spin indices. After performing the Matsubara sum,

$$\begin{aligned}\Pi_R^A(\vec{0}, 0) &= 2J_K^+ J_K^- \int \frac{d^d K}{(2\pi)^d} \frac{n(\xi_{K,\uparrow}) - n(\xi_{K,\downarrow})}{\xi_{K,\downarrow} - \xi_{K,\uparrow}} \\ &= 2J_K^+ J_K^- \int \frac{d^d K}{(2\pi)^d} \frac{n(\xi_{K,\uparrow}) - n(\xi_{K,\downarrow})}{2J_K^z} \\ &= \frac{J_K^+ J_K^-}{J_K^z} (n_\uparrow - n_\downarrow)\end{aligned}\tag{14}$$

Therefore, when the Kondo coupling is SU(2) symmetric the mass terms cancel and $\Pi^A + \Pi^B \approx J_K^2 \gamma_d |\omega|/q$ and thus $\chi^{-1}(\vec{q}, i\omega) = q^2 + \gamma_d J_K^2 \frac{|\omega|}{q}$, where as usual we have neglected the linear in ω term because it is less relevant in the RG sense. This special form of the bosonic propagator has emerged in a number of other applications, the most famous example being the gauge-fermion problem. We will comment on its consequence a little later.

With the inclusion of damping, the quadratic action now becomes:

$$\mathcal{S}_m = \int d\omega d^{d-1} q_\perp dq_\parallel m^+ \left(q_\perp^2 + b \frac{\omega}{q_\perp} \right) m^- \tag{15}$$

$$\mathcal{S}_c = \int d\epsilon d^{d-1} k_\perp dk_\parallel \bar{\psi}_\sigma (i\epsilon - v_F k_\parallel - a_\sigma k_\perp^2) \psi_\sigma \tag{16}$$

where a_σ and b are simply couplings that control the relative scaling between different components of the action. Their dimensions will be chosen to ensure the quadratic action is scale invariant. Significantly, in this $z_b = 3$ theory the Berry phase no longer controls the dynamics, being instead overwhelmed by the damping term. Physically, this is because the magnetization of the local moment system is no longer conserved by itself once it can exchange spin flips with the conduction electrons.

The scaling analysis now needs to be redone.

$$\begin{aligned}
[\epsilon] &= 1 \\
[k_{\parallel}] &= 1 \\
[k_{\perp}] &= 1/z_b = 1/3 \\
[a] &= 1 - 1/z_b = 2/3 \\
[b] &= 0 \\
[\psi] &= -(3z_b + d - 1)/(2z_b) = -(8 + d)/6 \\
[m] &= -(2z_b + d + 1)/(2z_b) = -(7 + d)/6
\end{aligned} \tag{17}$$

Note that in principle a_{σ} and ψ_{σ} could scale differently for different spin projections, but because of the way they enter the action, we scale them identically. With these choices, all the terms in the quadratic action are scale invariant. The Kondo coupling terms,

$$\mathcal{S}_K^{\pm} = J_K^{\pm} \int d^{d-1}q_{\perp} dq_{\parallel} d\omega d^{d-1}k_{\perp} dk_{\parallel} d\varepsilon \left[\bar{\psi}_{k+q,\uparrow} \psi_{k,\downarrow} m_q^{-} + \bar{\psi}_{k+q,\downarrow} \psi_{k,\uparrow} m_q^{+} \right] \tag{18}$$

$$\begin{aligned}
\mathcal{S}_K^z &= J_K^z \int d^{d-1}q_{1\perp} dq_{1\parallel} d\omega_1 d^{d-1}q_{2\perp} dq_{2\parallel} d\omega_2 d^{d-1}k_{\perp} dk_{\parallel} d\varepsilon \\
&\times \left[\bar{\psi}_{k+q_1-q_2,\uparrow} \psi_{k,\uparrow} m_{q_1}^{+} m_{q_2}^{-} + \bar{\psi}_{k+q_1-q_2,\downarrow} \psi_{k,\downarrow} m_{q_1}^{+} m_{q_2}^{-} \right]
\end{aligned} \tag{19}$$

are easily analyzed:

$$\begin{aligned}
[\mathcal{S}_K^{\pm}] &= 0 \\
&= [J_K^{\pm}] + 2[d^{d-1}k_{\perp} dk_{\parallel} d\varepsilon] + 2[\psi] + [m] \\
&= [J_K^{\pm}] + 2\frac{d-1+2z_b}{z_b} - 2\frac{3z_b+d-1}{2z_b} - \frac{2z_b+d+1}{2z_b} \\
\implies [J_K^{\pm}] &= (3-d)/(2z)
\end{aligned} \tag{20}$$

$$\begin{aligned}
[\mathcal{S}_K^z] &= 0 \\
&= [J_K^z] + 3[d^{d-1}k_{\perp} dk_{\parallel} d\varepsilon] + 2[\psi] + 2[m] \\
&= [J_K^z] + 3\frac{d-1+2z_b}{z_b} - 2\frac{3z_b+d-1}{2z_b} - 2\frac{2z_b+d+1}{2z_b} \\
\implies [J_K^z] &= (1-d)/z
\end{aligned} \tag{21}$$

The inclusions of ω/q damping into the quadratic part of the boson action has the effect of changing the dynamics from $z_b = 2$ to $z_b = 3$, however, there is no change to the dimension of the spin-flip Kondo coupling. The longitudinal Kondo coupling is irrelevant for any $d > 1$.

It turns out that a proper analysis of the fixed point requires insertion of the fermion self energy as well⁵, which we turn to next.

IV. ELECTRON SELF ENERGY AND NON-FERMI LIQUID BEHAVIOR

In addition to the scaling analysis, we have another reason to determine the electron self-energy. Anticipating that the non-Fermi liquid contribution from the Kondo coupling to the magnons will be cut off at the energy of order $\omega \sim \omega_c \sim (I/W^2)\Delta^2$, we wish to ascertain the magnitude of the non-Fermi liquid term at this cutoff scale. This will allow us to compare this term with some background Fermi liquid contributions. Since the Kondo coupling also occurs in the modified magnon propagator, we present here the calculation of the electron self-energy in some detail.

The leading order contribution to the electron self energy in $d = 2$ is given by the dressed boson, bare fermion and no vertex correction, as depicted in figure 2.

$$\begin{aligned}\Sigma_{\bar{\sigma}}(\vec{K}, i\epsilon) &= J_K^2 \int \frac{d^2 q d\omega}{(2\pi)^3} G_{\sigma}^0(\vec{K} + \vec{q}, i\epsilon_m + i\omega_n) \chi(\vec{q}, i\omega_n) \\ &= J_K^2 \int \frac{d^2 q d\omega}{(2\pi)^3} \frac{1}{i\epsilon + i\omega - \xi_{K+q, \sigma}} \frac{1}{q^2 - \Pi(\vec{q}, i\omega)} \\ &= J_K^2 \int \frac{d^2 q d\omega}{(2\pi)^3} \frac{1}{i\epsilon + i\omega - \xi_{K\sigma} - \frac{Kq}{m} \cos \theta} \frac{1}{q^2 - \Pi(\vec{q}, i\omega)}\end{aligned}\quad (22)$$

From the previous section we have the result $\Pi(\vec{q}, i\omega_n) \approx -J_K^2 \gamma \frac{|\omega|}{q}$. For the integral over θ we use: $\int_0^{2\pi} \frac{1}{z + i \cos \theta} = \frac{2\pi \text{sgn} \text{Re}(z)}{\sqrt{z^2 + 1}}$ for any complex z .

$$\begin{aligned}\Sigma_{\bar{\sigma}}(\vec{K}, i\epsilon) &= J_K^2 \int \frac{q dq d\omega}{(2\pi)^3} \frac{1}{Kq/m} \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \int d\theta \frac{1}{\frac{i\epsilon + i\omega - \xi_{K\sigma}}{\frac{Kq}{m}} - \cos \theta} \\ &= -iJ_K^2 \int \frac{q dq d\omega}{(2\pi)^3} \frac{1}{Kq/m} \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \int d\theta \frac{1}{\frac{\epsilon + \omega + i\xi_{K\sigma}}{\frac{Kq}{m}} + i \cos \theta} \\ &= -iJ_K^2 \int \frac{q dq d\omega}{(2\pi)^3} \frac{1}{Kq/m} \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \frac{2\pi \text{sgn}(\epsilon + \omega)}{\sqrt{\left(\frac{\epsilon + \omega + i\xi_{K\sigma}}{\frac{Kq}{m}}\right)^2 + 1}}\end{aligned}\quad (23)$$

But in the regime of interest, and with the momentum restricted to $K \approx K_F$, we have $\frac{\epsilon + \omega + i\xi_{K\sigma}}{K_F/m} \ll 1$. The self-energy then simplifies to

$$\begin{aligned}\Sigma_{\bar{\sigma}}(K_F, i\epsilon) &\approx -iJ_K^2 \int \frac{q dq d\omega}{(2\pi)^3} \frac{1}{K_F q/m} \frac{2\pi \text{sgn}(\epsilon + \omega)}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \\ &= -J_K^2 \frac{im}{(2\pi)^2 K_F} \int_0^\Lambda dq \int_{-\infty}^\infty d\omega \frac{\text{sgn}(\epsilon + \omega)}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}}\end{aligned}\quad (24)$$

This integral is a little tricky. First note that the frequency integral should have a cutoff, but this is complicated by the presence of the sgn function. It would be incorrect to simply

shift variables $\omega \rightarrow \omega + \epsilon$. The essential identity we need is:

$$\int_{-\Lambda}^{\Lambda} d\omega f(\omega) \text{sgn}(\omega + \epsilon) = 2 \int_0^{\epsilon} d\omega f(\omega) \quad (25)$$

which is only true for even functions: $f(\omega) = f(-\omega)$. To see where this comes from, note first that for even functions:

$$\int_a^b d\omega f(\omega) = - \int_{-a}^{-b} d\omega f(\omega)$$

Next, to handle the sgn function we partition the integral into four regions:

$$\int_{-\Lambda}^{\Lambda} d\omega f(\omega) \text{sgn}(\omega + \epsilon) = - \int_{-\Lambda}^{-\epsilon} d\omega f(\omega) + \int_{-\epsilon}^0 d\omega f(\omega) + \int_0^{\epsilon} d\omega f(\omega) + \int_{\epsilon}^{\Lambda} f(\omega)$$

where the minus sign is the result of the sgn function. Now we use the identity valid for even functions:

$$\begin{aligned} \int_{-\Lambda}^{\Lambda} d\omega f(\omega) \text{sgn}(\omega + \epsilon) &= \int_{\Lambda}^{\epsilon} d\omega f(\omega) - \int_{\epsilon}^0 d\omega f(\omega) + \int_0^{\epsilon} d\omega f(\omega) + \int_{\epsilon}^{\Lambda} f(\omega) \\ &= 2 \int_0^{\epsilon} d\omega f(\omega) \end{aligned}$$

Armed with this identity, the self energy is:

$$\begin{aligned} \Sigma_{\bar{\sigma}}(K_F, i\epsilon) &\approx -J_K^2 \frac{2im}{(2\pi)^2 K_F} \int_0^{\infty} dq \int_0^{\epsilon} d\omega \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \\ &= -J_K^2 \frac{2im}{(2\pi)^2 K_F J_K^2 \gamma} \int_0^{\infty} dq q \log \left(1 + J_K^2 \frac{\gamma \epsilon}{q^3} \right) \\ &= -\frac{i2m}{(2\pi)^2 K_F \gamma} \frac{\pi}{\sqrt{3}} (J_K^2 \gamma \epsilon)^{2/3} \end{aligned} \quad (26)$$

Had we used a cutoff on the q-integral, we would have ended up with some unsightly hypergeometric functions whose asymptotic form is the same as above, so it is easier to just set the cutoff to infinity straight away. For convenience, we have so far dropped the stiffness (ρ_s) factor in the q^2 term of the boson propagator. Reintroducing this factor, and taking $\rho_s \propto I$, we end up with the conduction electron self-energy quoted in the main text, Eq. (6).

Redoing the calculations for $d = 3$ is relatively straightforward, although now the integral will be UV divergent. The only difference is that now we set \vec{K} onto the x-axis since the ϕ variable is the one that runs from $0 \rightarrow 2\pi$. This allows us to use the same identity on the ϕ

integral that we used in the $d = 2$ case for the θ integral.

$$\begin{aligned}
\Sigma_{\bar{\sigma}}(\vec{K}, i\epsilon) &= J_K^2 \int \frac{q^2 dq \sin \theta d\theta d\omega}{(2\pi)^4} \frac{1}{Kq/m} \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \int d\phi \frac{1}{\frac{i\epsilon + i\omega - \xi_{K\sigma}}{\frac{Kq}{m}} - \cos \phi} \\
&= -iJ_K^2 \int \frac{q^2 dq \sin \theta d\theta d\omega}{(2\pi)^4} \frac{1}{Kq/m} \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \int d\phi \frac{1}{\frac{\epsilon + \omega + i\xi_{K\sigma}}{\frac{Kq}{m}} + i \cos \phi} \\
&= -iJ_K^2 \int \frac{q^2 dq \sin \theta d\theta d\omega}{(2\pi)^4} \frac{1}{Kq/m} \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \frac{2\pi \operatorname{sgn}(\epsilon + \omega)}{\sqrt{\left(\frac{\epsilon + \omega + i\xi_{K\sigma}}{\frac{Kq}{m}}\right)^2 + 1}}
\end{aligned}$$

Within the regime of interest this simplifies to

$$\begin{aligned}
\Sigma_{\bar{\sigma}}(K_F, i\epsilon) &\approx -J_K^2 \frac{2im}{(2\pi)^3 K_F} \int q dq \int_0^\epsilon d\omega \frac{1}{q^2 + J_K^2 \gamma \frac{|\omega|}{q}} \\
&= -J_K^2 \frac{2im}{(2\pi)^3 K_F J_K^2 \gamma} \int_0^\Lambda dq q^2 \log \left(1 + \frac{J_K^2 \gamma \epsilon}{q^3} \right) \\
&= -\frac{2im}{(2\pi)^3 K_F \gamma} \left[\Lambda^3 \log \left(1 + \frac{J_K^2 \gamma \epsilon}{\Lambda^3} \right) + J_K^2 \gamma \epsilon \log \left(1 + \frac{\Lambda^3}{J_K^2 \gamma \epsilon} \right) \right] \\
&\approx -\frac{2im}{(2\pi)^3 K_F \gamma} \left[J_K^2 \gamma - J_K^2 \gamma \log \epsilon + J_K^2 \gamma \log \frac{\Lambda^3}{J_K^2 \gamma} \right] \epsilon + O(\epsilon^2) \tag{27}
\end{aligned}$$

So the leading singularity in $d = 3$ is:

$$\Sigma \propto iJ_K^2 \epsilon \log \epsilon \tag{28}$$

Again, recovering the stiffness factor leads to the form of the conduction electron self-energy presented in the main text, Eq. (6).

Holstein, Norton, and Pincus were the first to show that the transverse electromagnetic field coupling remains unscreened and can in principle lead to non-Fermi liquid behavior⁸. For a real electromagnetic field, the smallness of the fine structure constant suppresses this effect to extremely low temperatures. Related non-Fermi liquid form appears in the gauge-fermion problem^{5,9,10}. More recently, similar self energies have been found near quantum critical points and the nematic fermi fluid^{11,12,13}. The prevalence of this self energy results from the generic presence of a massless $z_b = 3$ boson coupled to a system with a Fermi surface. The problem we have considered here has some important formal differences from the gauge-fermion and critical Fermi liquid cases, even in the $z_b = 3$ continuum regime. One difference is in the mechanism by which the boson propagators are gapless. In the gauge-fermion problem, gauge invariance guarantees the cancellation of the mass term upon adding

the bubble and tadpole diagrams in a large- N calculation of the self energy of the vector potential⁷. At the ferromagnetic QCP, the divergence of the correlation length ($\xi^{-2} \rightarrow 0$) leads to gapless quantum critical fluctuations. In our case, it is the $SU(2)$ spin symmetry of the Kondo interaction which dictates that the contribution from the longitudinal channel exactly cancels that from the transverse channel. A similar effect from the longitudinal mode of the ordered itinerant antiferromagnet was recently discussed by¹⁴, and we suspect that the cancellation argument we advance here may apply to their case as well. Another feature that is unique to our problem corresponds to the specific non-linear terms [Eq. 4] that occur here, which come into play in our RG analysis. We have shown that these terms, while relevant for the pure Heisenberg problem, become irrelevant when the Kondo coupling to the fermions is introduced.

V. SCALING WITH FULLY DRESSED PROPAGATORS

Now that we have the expression for the electron self energy we can finally incorporate it into the fixed point and redo the scaling analysis.

$$\mathcal{S}_m = \int d\omega d^{d-1}q_{\perp} dq_{\parallel} m^+ \left(q_{\perp}^2 + b \frac{\omega}{q_{\perp}} \right) m^- \quad (29)$$

$$\mathcal{S}_c = \int d\epsilon d^{d-1}k_{\perp} dk_{\parallel} \bar{\psi}_{\sigma} \left(|\epsilon|^{d/z_b} - v_F k_{\parallel} - a_{\sigma} k_{\perp}^2 \right) \psi_{\sigma} \quad (30)$$

Note that the self energy correction to the fermion in $d = 3$ is actually $\epsilon \log \epsilon$, but for the purposes of scaling we can simultaneously treat the cases $d = 2$ and $d = 3$ by analyzing the form ϵ^{d/z_b} . To make every term in the quadratic action scale invariant we make the assignments:

$$\begin{aligned} [k_{\perp}] &= 1/d \\ [k_{\parallel}] &= 1 \\ [\epsilon] &= z_b/d = 3/d \\ [a_{\sigma}] &= 1 - 2/d \\ [\psi] &= -(3d + z_b - 1)/(2d) = -(3d + 2)/(2d) \\ [m] &= -(2d + z_b + 1)/(2d) = -(2d + 4)/(2d) \end{aligned} \quad (31)$$

Inserting these dimensions into the Kondo coupling produces:

$$[J_K^\pm] = (3 - z_b)/(2d) = 0 \quad (32)$$

$$[J_K^z] = (3 - z_b - d)/d = -1 \quad (33)$$

In both $d = 2$ and $d = 3$, we find that the insertion of the self energies has led to the marginality of the transverse Kondo coupling, and the irrelevance of the longitudinal channel. This demonstrates that with the correct self energies built into the theory, which references the appropriate stable fixed point, there is never any unstable flow of the Kondo coupling. The ferromagnetic phase with a small Fermi surface is stable to the Kondo coupling.

Parenthetically, note that the magnon scattering term scales like:

$$\begin{aligned} \mathcal{S}_m^{(4)} &\sim g \int (d^{d-1} q_\perp dq_\parallel d\omega)^3 (q_\perp m)^4 \\ \implies [g] &= -\frac{3(d-1+d+z_b)+4-2(2d+z_b+1)}{d} \\ &= \frac{1-z_b-2d}{d} \\ &= -2\frac{d+1}{d} \end{aligned} \quad (34)$$

$$(35)$$

which is always irrelevant.

VI. THE EFFECT OF THE CUTOFF

Below the cutoff, $\omega < \omega_c \sim (I/W^2)\Delta^2$ and $q < q_c \sim (K_F/W)\Delta$, the transverse Kondo coupling becomes irrelevant in the RG sense due to phase space restrictions. The longitudinal Kondo coupling, having the scaling dimension $(1-d)/z_b$, is irrelevant as well. The non-Fermi liquid effect will therefore be cut off in this range.

To ascertain the strength of the non-Fermi liquid contribution, we can compare the continuum contribution to the self energy, Eq. (7) of the main text, with the background Fermi liquid contribution at the cutoff frequency ω_c . Adding a Coulomb interaction u among the conduction electrons leads to a Fermi-liquid contribution to the self-energy of the order $\Sigma_{FL}(\epsilon) \sim u^2 \rho_0^3 \epsilon^2$. In $d = 2$ we have

$$\Sigma_{NFL}(\epsilon \sim \omega_c) \sim (\rho_0 J_K^4 / I^2)^{1/3} \omega_c^{2/3} \sim J_K^{8/3} / W^{5/3} \quad (36)$$

$$\Sigma_{FL}(\epsilon \sim \omega_c) = u^2 \rho_0^3 \omega_c^2 \sim (u^2 I^2 / W^7) J_K^4 \quad (37)$$

In the parameter range we consider, $J_K \ll |I| \ll W$, $\Sigma_{NFL}(\epsilon \sim \omega_c)$ is much larger than $\Sigma_{FL}(\epsilon \sim \omega_c)$. Note that in three dimensions, $\Sigma_{NFL}(\epsilon \sim \omega_c) \sim \rho_0 J_K^2 \omega_c / I \sim J_K^4 / W^3$, leading to a similar conclusion.

-
1. Read, N. & Sachdev, S. Continuum quantum ferromagnets at finite temperature and the quantum hall effect. *Phys. Rev. Lett.* **75**, 3509-3512 (1995).
 2. Wen, X. G. & Zee, A. Spin waves and topological terms in the mean-field theory of two-dimensional ferromagnets and antiferromagnets. *Phys. Rev. Lett.* **61**, 1025-1028 (1988).
 3. Yamamoto, S. J. & Si, Q. Fermi surface and antiferromagnetism in the Kondo lattice: an asymptotically exact solution in $d > 1$ dimensions. *Phys. Rev. Lett.* **99**, 016401 (2007).
 4. Shankar, R. Renormalization-group approach to interacting fermions. *Rev. Mod. Phys.* **66**, 129-192 (1994).
 5. Altshuler, B. L., Ioffe, L. B. & Millis, A. J. Low energy properties of fermions with singular interactions. *Phys. Rev. B* **50**, 14048-14065 (1994).
 6. Sachdev, S. *Quantum Phase Transitions* (Cambridge University Press, Cambridge, 1999).
 7. Tsvelik, A. *Quantum Field Theory in Condensed Matter Physics* (Cambridge University Press, Cambridge, 2003).
 8. Holstein, T., Norton, R. E. & Pincus, P. de Haas-van Alphen effect and the specific heat of an electron gas. *Phys. Rev. B* **8**, 2649-2656 (1973).
 9. Lee, P. A. Gauge field, Aharonov-Bohm flux, and high- T_c superconductivity. *Phys. Rev. Lett.* **63**, 680-683 (1989).
 10. Polchinski, J. Low energy dynamics of the spinon-gauge system. *Nucl. Phys. B* **422**, 617-633 (1994).
 11. Rech, J., Pepin, C. & Chubukov, A. V. Quantum critical behavior in itinerant electron systems: Eliashberg theory and instability of a ferromagnetic quantum critical point. *Phys. Rev. B* **74**, 195126 (2006).
 12. Efremov, D. V., Betrounas, J. J. & Chubukov, A. V. Non-analytic behavior of 2D itinerant ferromagnet. arXiv:0804.2736v1.
 13. Oganesyan, V., Fradkin, E. & Kivelson, S. A. Quantum theory of a nematic Fermi fluid. *Phys. Rev. B* **74**, 195126 (2001).

14. Vekhter, I. & Chubukov, A. V. Non-Fermi-Liquid behavior in itinerant antiferromagnets. *Phys. Rev. Lett.* **93**, 016405 (2004).